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 WASHINGTON, D.C. 20231  
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,657	08/18/2001	Stephen A. Garrett	1205-004/JRD

 021034  
 IPSOLON LLP  
 805 SW BROADWAY, #2740  
 PORTLAND, OR 97205

CONFIRMATION NO. 4574

## FORMALITIES LETTER



\*OC00000006554061\*

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 1 - ATTORNEY/APPLICANT COPY

10/22/2001 CCHAU1 00000065 09932657

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65.00 0P



PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Setor JB

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/932,657

Filing Date Aug 18, 2001

First Named Inventor Garrett

Group Art Unit 2672

Examiner Name

Total Number of Pages in This Submission 7

Attorney Docket Number 1205-004/JRD

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s) ☐ Sheets
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Copy of PTOL-85B to Accompany Issue Fee
- ☐ Request for Patent Copies
- ☒ Other Enclosure(s) (please identify below):  
Copy of Missing Parts Notice

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required in connection with prosecution of this application to Deposit Account No. 50-0241

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

John R. Dawson, Reg. No. 39,504

Signature

Date

Oct 15, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Oct 15, 2001

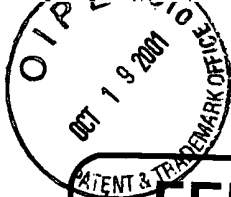
Typed or printed name

John R. Dawson

Signature

Date

Oct 15, 2001



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 105

## Complete if Known

Application Number 09/932,657  
Filing Date Aug 18, 2001  
First Named Inventor Garrett  
Examiner Name  
Group Art Unit 2672  
Attorney Docket No. 1205-004/JRD

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0241

Deposit  
Account  
Name

ipsolon llp

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

101	710	201	355	Utility filing fee	\$0
106	320	206	160	Design filing fee	\$0
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	\$0

Fee Paid

SUBTOTAL (1) (\$ ) 0

### 2. EXTRA CLAIM FEES

Total Claims 30 -20 = 0 x 9 = 0  
Independent Claims 2 -3 = 0 x 40 = 0  
Multiple Dependent  
SUBTOTAL (2) \$0.00

Certificate of Mailing under 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231  
DATE: Oct 15, 2001

John R. Dawson

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	\$65
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	\$0
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	\$0
116	390	216	195			Extension for reply within second month	\$0
117	890	217	445			Extension for reply within third month	\$0
118	1,390	218	695			Extension for reply within fourth month	\$0
128	1,890	228	945			Extension for reply within fifth month	\$0
119	310	219	155			Notice of Appeal	\$0
120	310	220	155			Filing a brief in support of an appeal	\$0
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,240	241	620			Petition to revive - unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	\$0
143	440	243	220			Design issue fee	\$0
561	3					<input type="checkbox"/> Printed copies of patent	\$0
122	130	122	130			Petitions to the Commissioner	\$0
123	50	123	50			Processing fee under 37 CFR 1.17(q)	\$0
126	180	126	180			Submission of Information Disclosure Stmt	\$0
581	40	581	40			Recording each patent assignment per property (times number of properties)	\$40
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	\$0
169	900	169	900			Request for expedited examination of a design application	\$0

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 105

## SUBMITTED BY

Name (Print/Type)	John R. Dawson	Registration No. (Attorney/Agent)	39,504	Telephone	(503) 249-7066
Signature		Date	Oct 15, 2001		